

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10520026
Filing Date	2006-02-14
First Named Inventor	Zhenping Zhu
Art Unit	1644
Examiner Name	P. N. Huynh
Attorney Docket Number	IMCLON 3.3-002 II

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	4946778		1990-08-07	Ladner et al.	
	2	5482856		1996-01-09	Fell, Jr. et al.	
	3	5530101		1996-06-25	Queen et al.	
	4	5548065		1996-08-20	Lemischka duplicate	
	5	5747651		1998-05-05	Lemischka	
	6	5840299		1998-11-24	Bendig et al.	
	7	5840301		1998-11-24	Rockwell et al.	
	8	5851999		1998-12-22	Ullrich et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

9	5861301		1999-01-19	Terman et al.	
10	5863538		1999-01-26	Thorpe et al.	
11	5869620		1999-02-09	Whitlow et al.	
12	5955311		1999-09-21	Rockwell et al.	
13	6036955		2000-03-14	Thorpe et al.	
14	6121424		2000-09-19	Whitlow et al.	
15	6217866		2001-04-17	Schlessinger et al.	
16	6365157		2002-04-02	Rockwell et al.	
17	6383484		2002-05-07	Achen et al.	
18	6448577		2002-09-10	Rockwell et al.	
19	6824777		2004-11-30	Alitalo et al.	

Duplicate

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10520026
Filing Date	2006-02-14
First Named Inventor	Zhenping Zhu
Art Unit	1644
Examiner Name	P. N. Huynh
Attorney Docket Number	IMCLON 3.3-002 II

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20040259156		2004-12-23	Zhu	
	2	20010021382		2001-09-13	FERRARA et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS

Remove

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2328893	CA		1999-11-25	Kyowa Hakko Kogyo Kk		<input type="checkbox"/>
	2	2001-587003	JP		2002-11-25	Imclone Systems Incorporated duplicate		<input checked="" type="checkbox"/>
	3	9410331	WO		1994-05-11	Univ Australian et al.		<input type="checkbox"/>
	4	9411499	WO		1994-05-26	Max Planck Gesellschaft		<input type="checkbox"/>
	5	9311236	WO		1993-06-10	Medical Res Council et al.		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

6	9559636	WO		1998-11-25	Kyow Hakko Kogyo Co Ltd.	<input type="checkbox"/>
7	9640210	WO		1996-12-19	Imclone Systems Inc et al.	<input type="checkbox"/>
8	00/44777	WO		2000-08-03	Imclone Systems Inc et al.	<input type="checkbox"/>
9	0021560	WO		2000-04-20	Ludwig Inst Cancer Res et al.	<input type="checkbox"/>
10	2005037235	WO		2005-04-28	Imclone Systems Inc et al.	<input type="checkbox"/>
11	9822616	WO		1998-05-28	Kyowa Hakko Kogyo Kk et al.	<input type="checkbox"/>
12	0208293	WO		2002-01-31	Immunomedics Inc et al.	<input type="checkbox"/>
13	8809344	WO		1988-12-01	Creative Biomolecules Inc	<input type="checkbox"/>
14	01/90192	WO		2001-11-29	Imclone Systems Inc et al.	<input type="checkbox"/>
15	2004003211	WO		2004-01-08	Imclone Systems Inc et al.	<input type="checkbox"/>
16	2005120557	WO		2005-12-22	Imclone Systems Inc et al.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

17	9312220	WO		1993-06-24	Berlex Lab		<input type="checkbox"/>
18	9960023	WO		1999-11-25	Imclone Systems Inc et al.		<input type="checkbox"/>
19	9410202	WO		1994-05-11	Genentech Inc		<input type="checkbox"/>
20	9214748	WO		1992-09-03	American Cyanamid Co		<input type="checkbox"/>
21	9311238	WO		1993-06-10	Sumitomo Pharma et al.		<input type="checkbox"/>
22	0190192	WO		2001-11-29	Imclone Systems Inc et al.		<input type="checkbox"/>
23	9201047	WO		1992-01-23	Cambridge Antibody Tech et al.		<input type="checkbox"/>
24	0154723	WO		2001-08-02	Sunnybrook Health Science Ct et al.		<input type="checkbox"/>
25	9321319	WO		1993-10-28	Cetus Oncology Corp et al.		<input type="checkbox"/>
26	2003075840	WO		2003-09-18	Imclone Systems Incorporated		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

Add

NON-PATENT LITERATURE DOCUMENTS

Remove

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	ABBAS et al, Cellular and Molecular Immunology, Saunders Company, 43:56-58 (1991)	<input type="checkbox"/>
	2	BARLEON et al., Blood 87:3336-3343 (1996)	<input type="checkbox"/>
	3	BARLEON et al., J. Biol. Chem 272(16):10382-88 (1997)	<input type="checkbox"/>
	4	BARRIOS et al., J Molecular Recognition 17: 332-338, 2004.	<input type="checkbox"/>
	5	BATLEY et al., Life Science 62:143-50 (1998)	<input type="checkbox"/>
	6	CLAUSS et al., J. Biol. Chem. 271:17629-17634 (1996) Duplicate	<input type="checkbox"/>
	7	CO et al., Nature 351:501-2 (1991)	<input type="checkbox"/>
	8	DERMER et al, Bio Technology 12:320 (1994)	<input type="checkbox"/>
	9	DIAS, et al., Blood 94(19):620A (1999)	<input type="checkbox"/>
	10	FOLKMAN, et al., Science 235:442-7 (1987)	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10520026
Filing Date	2006-02-14
First Named Inventor	Zhenping Zhu
Art Unit	1644
Examiner Name	P. N. Huynh
Attorney Docket Number	IMCLON 3.3-002 II

11	 GRIFFITHS et al., EMBO J. 13:3245-3260 (1994) 	<input type="checkbox"/>
12	 GURA, Science 278:1041-2 (1997) 	<input type="checkbox"/>
13	 HAWKINS et al., J. Mol. Bio. 226:889-96 (1992) 	<input type="checkbox"/>
14	 HIRATSUKA et al., Proc. Natl. Acad. Sci. USA 95:9349-9354 (1998) 	<input type="checkbox"/>
15	 HOFFMANN et al., Anticancer Res. 17:4419-26 (1997) 	<input type="checkbox"/>
16	 HOLLIGER et al., PNAS 90:6444-8 (1993) 	<input type="checkbox"/>
17	 JEFFREY et al., PNAS 90:10310-4 (1993) 	<input type="checkbox"/>
	Duplicate	
18	 JONES Genetics 85:12 (1977) 	<input type="checkbox"/>
19	 KAIPAINEN et al., J. Exp. Med. 178:2077-2088 (1993) 	<input type="checkbox"/>
20	 KANNO et al., Oncogene 19:2138-2146 (2000) 	<input type="checkbox"/>
21	 KIM et al., Growth Factors 7:53-64 (1992) 	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

22	KIM et al., Nature 36:841-844 (1993)	<input type="checkbox"/>
23	KINGSMANN et al., Gene 7:141 (1979)	<input type="checkbox"/>
24	KOBRIN et al, J Immunology 146:2017-2020 (1991)	<input type="checkbox"/>
25	LEUNG et al., Science 246:1306-1309 (1989)	<input type="checkbox"/>
26	LOIZOS et al., Mol. Cancer Ther. 4:369 (2005)	<input type="checkbox"/>
27	LOW et al., J. Mol. Bio. 250:359-368 (1996)	<input type="checkbox"/>
28	LU et al., J. Biol. Chem. 275:14321-14330 (2000)	<input type="checkbox"/>
29	MACK et al., PNAS 92:7021-5 (1995)	<input type="checkbox"/>
30	MAEDA et al., Hum. Antibod. Hybridomas 2:124-134 (1991)	<input type="checkbox"/>
31	MATTHEWS et al., PNAS USA 88:9026-9030 (1991)	<input type="checkbox"/>
32	MILLAUER et al., Cell 72:835-846 (1993)	<input type="checkbox"/>

Duplicate

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10520026
Filing Date		2006-02-14
First Named Inventor	Zhenping Zhu	
Art Unit	1644	
Examiner Name	P. N. Huynh	
Attorney Docket Number	IMCLON 3.3-002 II	

33	MORRISON et al., Proc. Natl. Acad. Sci. USA 81:6851-5 (1984)	<input type="checkbox"/>
34	NEUFELD et al.,FASEB J. 13:9-22 (1999)	<input type="checkbox"/>
35	PANEK et al., J. Pharmacol. and Exp. Thera. 283:1433-44 (1997)	<input type="checkbox"/>
36	PETRIDES et al., CancerRes. 50:3934-39 (1990)	<input type="checkbox"/>
37	PLATE et al., Cancer Research 53:5822-5827 (1993)	<input type="checkbox"/>
38	PLATE et al., Nature 359:845-8 (1992)	<input type="checkbox"/>
39	PREWETT et al., Cancer Research 59:5209-18 (1999)	<input type="checkbox"/>
40	Provisional US Application 60/588688 filed 7/17/04	<input type="checkbox"/>
41	QUEEN et al., PNAS 86:10029-10033 (1989)	<input type="checkbox"/>
42	RADINSKY et al., Clin. Cancer Res. 1:19-31 (1995)	<input type="checkbox"/>
43	ROGUSKA et al., PNAS 91:969-973 (1994)	<input type="checkbox"/>

Duplicate

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10520026
Filing Date	2006-02-14
First Named Inventor	Zhenping Zhu
Art Unit	1644
Examiner Name	P. N. Huynh
Attorney Docket Number	IMCLON 3.3-002 II

44	RUDIHOFF et al, Proc Natl Acad Sci USA 79:1979-83 (1982)	<input type="checkbox"/>
45	SAMBROOK et al., Molecular Cloning: A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press (1989)	<input type="checkbox"/>
46	SAUTER et al., Am. J. Path. 148:1047-53 (1996)	<input type="checkbox"/>
47	SHEN, J. Biol. Chem.. 281(16):10706-10714 (2006)	<input type="checkbox"/>
48	SHEN, J. Immunol. Methods 318: 65-74 (2007)	<input type="checkbox"/>
49	SHIBUYA, et al., Oncogene 5:519-524 (1990)	<input type="checkbox"/>
50	SHOLMAN et al., Mol. Cell. Biol. 2:1033-43 (1982)	<input type="checkbox"/>

Duplicate

If you wish to add additional non-patent literature document citation information please click the Add button **Add**

EXAMINER SIGNATURE

Examiner Signature	/Phuong Huynh/	Date Considered	06/11/2009
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.